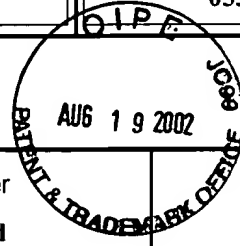


213#6

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 055120-0002	
In Re Application Of: William B. Sweet et al.				
Serial No. 09/930,029	Filing Date August 14, 2001	Examiner Unassigned	Group Art Unit 2131	
<p>Title:</p> <p>Method and Apparatus for a Web-Based Application Service Model for Security Management</p>				
<p>Address to:</p> <p>Assistant Commissioner for Patents Washington, D.C. 20231</p>				
<p>37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p>				
<p>37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center; margin-left: 100px;">OR</p> <p style="margin-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>				



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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
055120-0002

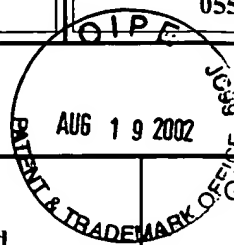
In Re Application: William B. Sweet et al.

Serial No.
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Method and Apparatus for a Web-Based Application Service Model for Security Management

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Dated: August 15, 2002

Constance F. Ramos, Ph.D.

Registration No. 47,883

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Palo Alto, California 94301

Telephone: (650) 470-4500

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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

055120-0002

Application Number

09/930 029

Applicant(s)

William B. Sweet et al.

Filing Date

August 14, 2001

Group Art Unit

2131

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,026,167	2/15/00	Aziz	380	28	5/23/97

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FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		EP 0 952 718 A2 and A3	10/27/99	Europe	H04L	29/06		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.